

**STATE INVESTMENT ADVISER REGISTRATON DEPOSITORY (IARD) FORM for
WAIVERS from STATE COMPETENCY EXAMS***

If you are registering as an investment adviser or representative through the IARD, you may claim a waiver from state competency exams based on CFA charterholder status by completing this form and faxing it to the Recognition Help Desk at 434-951-4847. This release form authorizes CFA Institute to convey your current charterholder status and your identifying information to the IARD for this purpose only. The electronic investment adviser registration depository (IARD) will use this information to recognize your eligibility for a waiver.

MEMBER NAME: _____

MEMBER ID#: _____

SOCIAL SECURITY NUMBER: _____ (*must be completed*)

I am in the process of registering as an Investment Adviser or Investment Adviser Representative. I hereby authorize CFA Institute to provide the above information to FINRA, in its role as IARD administrator, for the purpose of verifying my CFA charterholder status in connection with my filings to register as an investment adviser or representative in one or more states.

SIGNATURE: _____ **DATE:** _____

Please fax this form to the Recognition Help Desk at 434.951.4847 or mail it to the Recognition Help Desk at CFA Institute, 560 Ray C. Hunt Drive, Charlottesville, Virginia 22903

*Use this form **only** to seek waivers from state adviser registration exams.

Please do not submit this form to seek an exemption from Part I (i.e. Series 86), the Analysis section, of the two-part Research Analyst Qualification Examination. An Analyst's employer must contact FINRA directly to seek an exemption from the Series 86 section. The CFA Institute Recognition Help Desk will work directly with FINRA to confirm eligibility status.

Waivers do **not** apply to those seeking to register as, or serve in the capacity of, a broker-dealer.