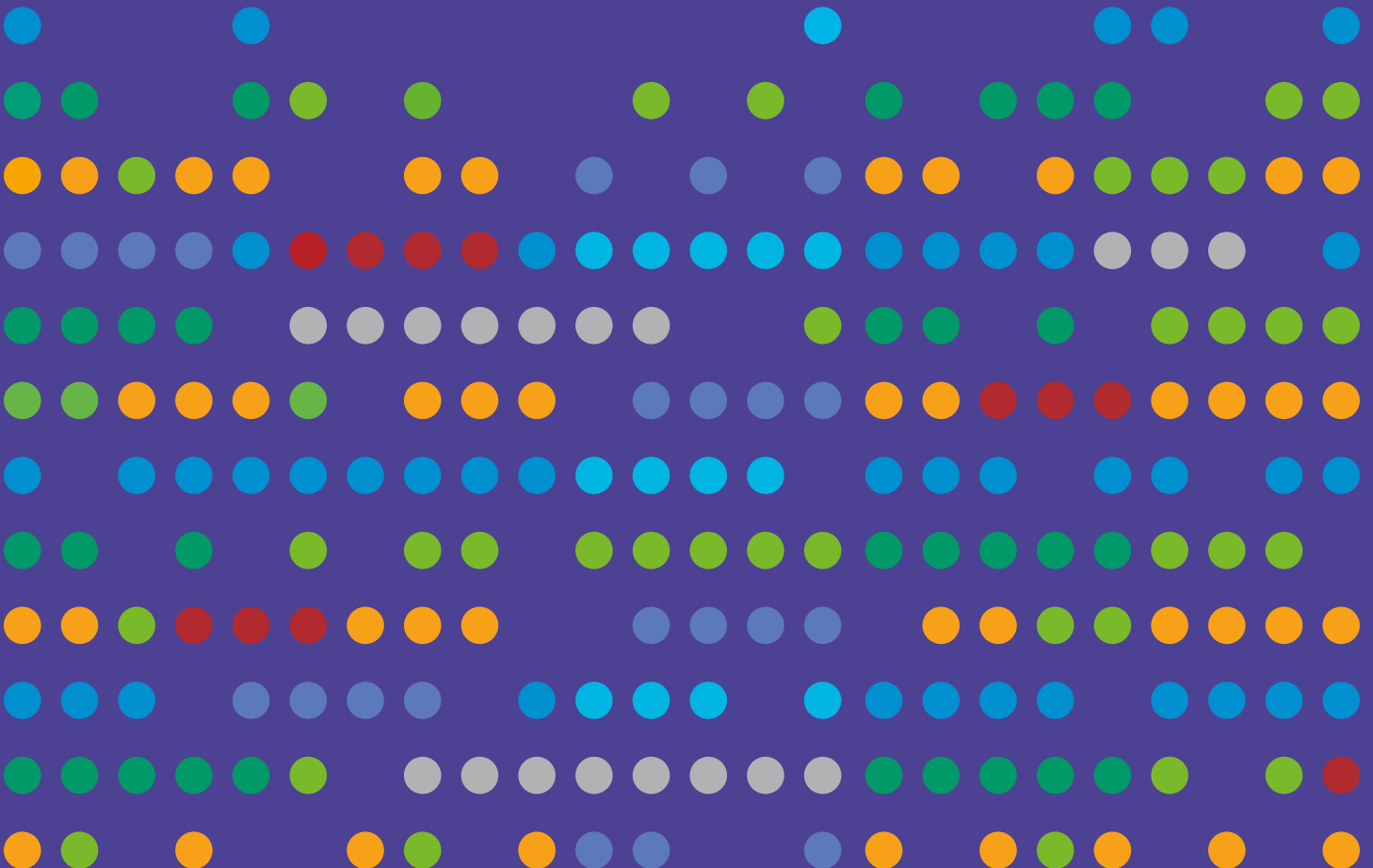


SIGNATORY NOTIFICATION FORM

CFA INSTITUTE DIVERSITY, EQUITY, AND INCLUSION CODE (USA AND CANADA)

2022



CFA INSTITUTE DIVERSITY, EQUITY, AND INCLUSION CODE (USA AND CANADA)

Signatory Notification Form

Complete this form if your organization is becoming a signatory to the Diversity, Equity, and Inclusion Code (USA and Canada).

In submitting this form, you indicate your organization's commitment to the principles of the Diversity, Equity, and Inclusion Code (the "DEI Code") that through its approach to DEI and/or its policies and procedures, commits to each principle and provision of the DEI Code. Submission does not represent that CFA Institute engages in enforcement or quality control of an organization's claim of commitment. CFA Institute does not verify the claim of commitment or actual commitment to the Code.

We currently require the contact information for two representatives. Both contacts will be notified when this form is received.

Organizations that wish to become signatories to the DEI Code must submit this form.

CFA Institute gathers and protects information in accordance with our Privacy Policy.

* indicates a required field

Contact One

Prefix

Given Name/First Name*

Family Name/Last Name*

Email Address*

Phone Number*

Contact Two

Prefix

Given Name/First Name

Family Name/Last Name

Email Address

Phone Number

Organization Information

Organization Name*

Parent Organization Name

Website Address*

Valid URL address, example:
https://example.com

Country / Region*

Select a Country / Region

City*

Organization Type
and Structure*

Please select your organization type

Is your organization a subsidiary of a larger parent company?*

No

Yes

Organization Assets

Indicate the Total Assets under Management for your organization as of the most recent calendar year-end in US Dollars (Rounded up to nearest Billion).

If assets are less than \$1billion, please insert 1

Acknowledgement

DEI Code Acknowledgement*

My organization as mentioned above commits to each principle of the DEI Code. My organization acknowledges CFA Institute may update and modify the DEI Code in the future, and accepts the responsibility to ensure knowledge of these changes.

My organization acknowledges that if it no longer commits to all the principles and provisions of the Code (including updates), or it chooses to stop claiming commitment with the DEI Code, my organization will no longer claim commitment and will promptly notify CFA Institute that it has stopped claiming commitment.