

# Governor Recommendation Form

## For Service Commencing Fiscal Year 2019

Please complete the information below and attach a resume/CV. Be sure to complete all three pages and save the file with a new filename. Before you send the file, please make sure your information has saved correctly and is still there when you reopen the file. Submit the completed form and resume via email to [governance@cfainstitute.org](mailto:governance@cfainstitute.org).

15 October 2017 is the submission deadline for candidates to be considered for governor terms commencing fiscal year 2019.

### Submitter Information

CFA INSTITUTE IDENTIFICATION #		RELATIONSHIP TO CANDIDATE	PREFIX (CHECK ONE)
FIRST (GIVEN) NAME		MIDDLE NAME OR INITIAL	LAST NAME (SURNAME OR FAMILY NAME)
E-MAIL ADDRESS (MUST BE LEGIBLE TO ALLOW RECEIPT OF IMPORTANT COMMUNICATIONS)		TELEPHONE NUMBER (INCLUDE COUNTRY CODE, AREA OR CITY CODE, AND LOCAL)	
IS THE CANDIDATE AWARE OF THIS RECOMMENDATION?		YES	NO

### Candidate Information

CFA INSTITUTE IDENTIFICATION #		CHARTERHOLDER	PREFIX (CHECK ONE)
FIRST (GIVEN) NAME		MIDDLE NAME OR INITIAL	LAST NAME (SURNAME OR FAMILY NAME)
ADDRESS LINE 1			
ADDRESS LINE 2			
CITY	STATE/PROVINCE	POSTAL CODE	COUNTRY
E-MAIL ADDRESS (MUST BE LEGIBLE TO ALLOW RECEIPT OF IMPORTANT COMMUNICATIONS)			
TELEPHONE NUMBER (INCLUDE COUNTRY CODE, AREA OR CITY CODE, AND LOCAL)		FAX NUMBER (INCLUDE COUNTRY CODE, AREA OR CITY CODE, AND LOCAL)	
URL TO LINKED IN PROFILE			
EMPLOYER		JOB TITLE / POSITION	

### Educational Background

DEGREE	NAME OF INSTITUTION
DEGREE	NAME OF INSTITUTION
DEGREE	NAME OF INSTITUTION

Please continue to the next page.

Please continue completing the information below.

**Commitment to CFA Institute**

1. Please specify the candidate's volunteer involvement with CFA Institute and its societies.

**Professional Experience**

2. Please list any other professional designations earned by the candidate.

3. How many years of experience does the candidate have in the investment industry?

0-1      2-4      5-7      8-10      10+

4. In which of the following industry areas has the candidate worked? (Please check all that apply.)

- |                               |                            |                            |
|-------------------------------|----------------------------|----------------------------|
| Academic Institution          | Equity                     | Mutual Fund/Investment Co. |
| Alternative Investments       | Fixed Income               | Plan Sponsor               |
| Audit                         | Government/Regulatory      | Private Wealth             |
| Bank                          | Hedge Funds                | Risk                       |
| Broker-dealer/Investment Bank | Insurance                  | Other                      |
| Consulting                    | Investment Mgt. Counseling |                            |

5. What current or previous roles has the candidate filled? (Please check all that apply.)

- |                                  |                                   |   |
|----------------------------------|-----------------------------------|---|
| Chief-Level Executive            | Investment Banking Analyst        | Real Estate Investment Manager          |
| Compliance Analyst/Officer       | Investment Consultant             | Regulator/Supervisor of Investment Firm |
| Corporate CFO                    | Investment Strategist             | Securities Trader                       |
| Corporate Finance Analyst        | Portfolio Manager                 | Security/Investment Analyst             |
| Derivatives Analyst              | Private Client Investment Advisor | Valuator of Closely Held Business       |
| Economist                        | Professor/Instructor              | Venture Capital Analyst                 |
| Institutional Sales Professional | Quant. Investment/Risk Analyst    |   |

*Please continue to the next page.*

Please continue completing the information below.

6. Briefly outline the professional accomplishments, skills, and experience that you think would make the candidate an asset to the Board of Governors. Please attach the candidate's resume to this form.

**Other Volunteer Experience**

7. Please describe any non-CFA Institute volunteer activities, including leadership positions, the candidate has participated in.

**Please attach the candidate's resume/CV when submitting this form.**