EXAM CASES

Cases Opened Per Year and Pending EOY

- 2009: 250
- 2010: 452
- 2011: 600
- 2012: 757
- 2013: 982
- 2014: 1,184
- 2015: 1,036
- Thru 1Q 2016: 623

Results as of 12/31/2015

- Pending EOY: 175
- Opened: 1,521

- Data Source: CFA Institute
EXAM CASES

Violations by Exam Cycle

To make data on a chart easier to read, CFA Institute recommends hiding chart gridlines. To do so, right-click on the gridlines and then click delete.
EXAM CASES

Closed by Cycle

Cases Pended
Prohibition from Participation in the CFA Program
Summary Suspension
5 Year Suspension from CFA Program
4 Year Suspension from CFA Program
3 Year Suspension from CFA Program
2 Year Suspension from CFA Program
1 Year Suspension from CFA Program
Censure
Private Reprimand
Cautionary Letter
No Disciplinary Action