

PROFESSIONAL CONDUCT STATISTICS (THROUGH 15 AUG 2019)

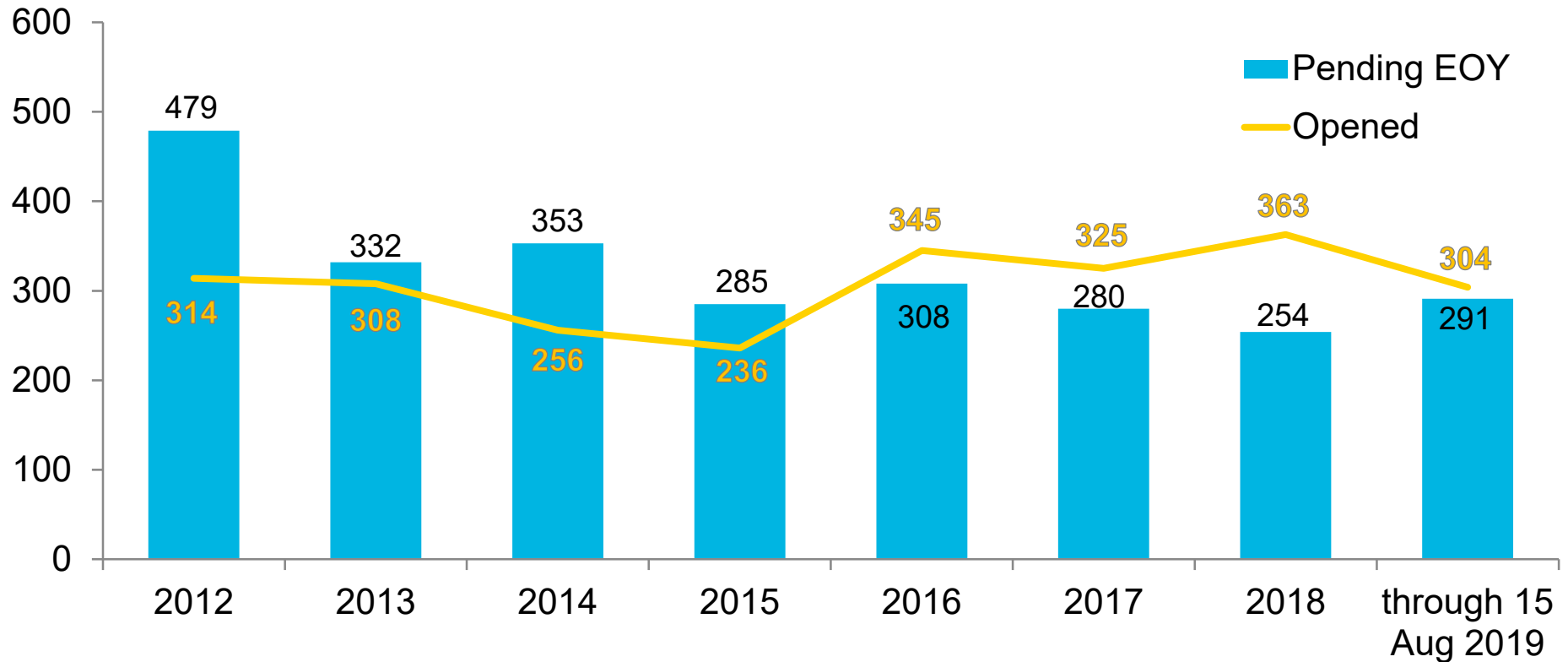
Prepared 15 August 2019



CFA Institute

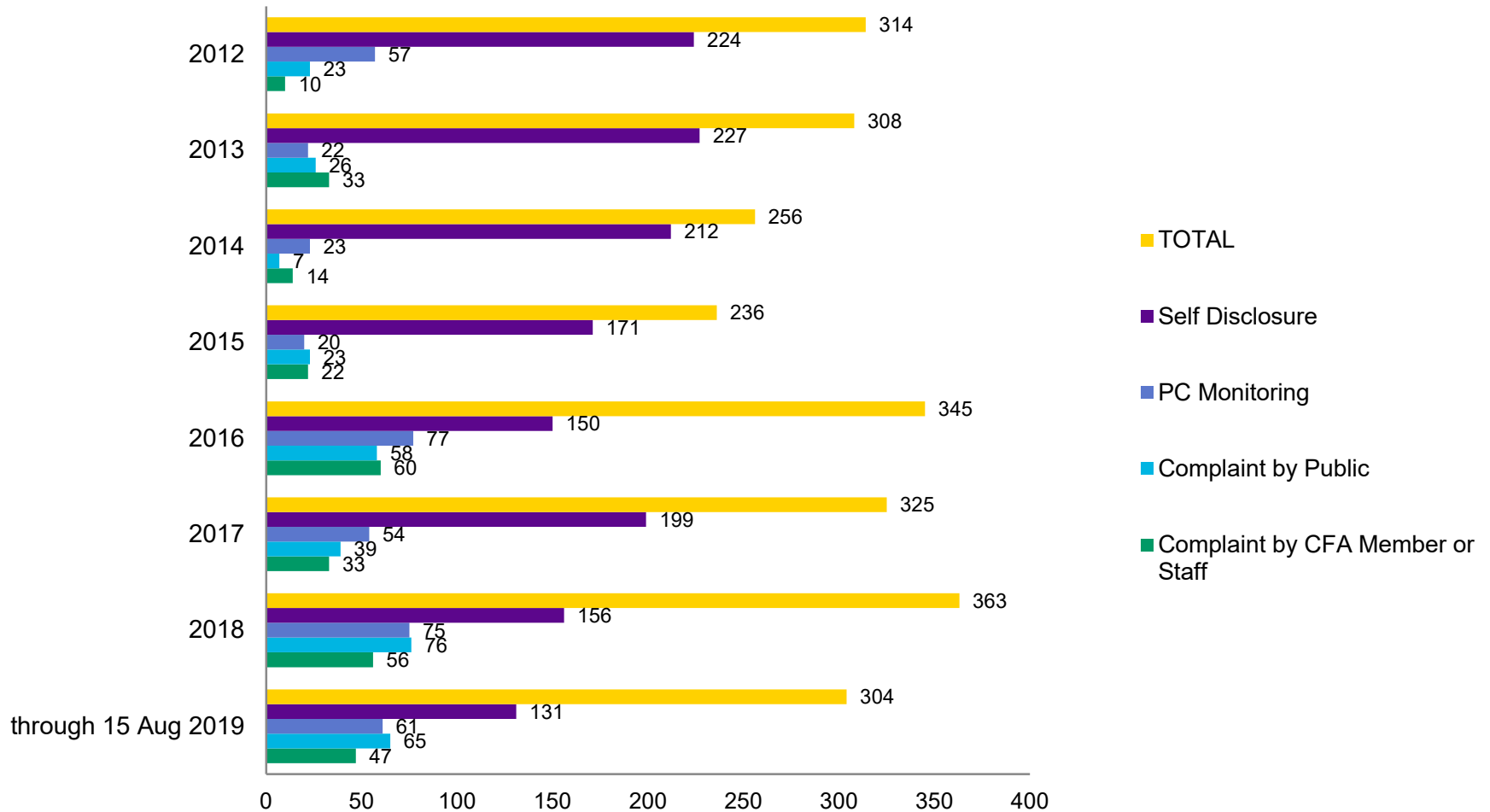
INDUSTRY CASES

Cases Opened Per Fiscal Year and Pending EOY



INDUSTRY CASES

Source by Fiscal Year



INDUSTRY CASES

Closed by Fiscal Year

