



CFA Institute

# INVESTMENT MANAGEMENT WORKSHOP

Strategic Decision-Making for the Investment Executive  
25-29 June 2017 | Harvard Business School Campus | Boston, Massachusetts



**HARVARD  
BUSINESS SCHOOL**

Executive Education

## APPLICATION FOR ADMISSION

The Admissions Committee reviews applications on a rolling basis and will accept qualified participants **as long as space is available**. Please apply early. Applicants will receive notification of acceptance or wait list status within 2-4 weeks of receipt of application.

Please answer all questions. Applications must be fully completed and signed prior to review by the Admissions Committee. **Electronically sign and return completed form** to [university@cfainstitute.org](mailto:university@cfainstitute.org). Please print a copy of the completed review form for your files.

## GENERAL INFORMATION

Mr.  Ms.  Mrs.  Dr. \_\_\_\_\_  
LAST (Family) NAME FIRST NAME MIDDLE INITIAL

\_\_\_\_\_  
TITLE/POSTION PREFERRED FIRST NAME FOR BADGE

\_\_\_\_\_  
COMPANY/ORGANIZATION NAME CFA INSTITUTE MEMBER/CANDIDATE NUMBER

\_\_\_\_\_  
COMPANY/ORGANIZATION ADDRESS (P.O. boxes accepted outside the U.S.)

\_\_\_\_\_  
CITY STATE/PROVINCE ZIP/POSTAL CODE COUNTRY

\_\_\_\_\_  
COMPANY/ORGANIZATION TELEPHONE FAX EMAIL ADDRESS

## AFFILIATION DETAILS

Please indicate your CFA Institute affiliation:

- CFA® Charterholder
- CFA Institute Member
- CFA Program Candidate
- HBS Alumnus
- Nonmember

Identify one institutional category that best describes your organization:

- Bank/Trust Department
- Consulting Firm
- Endowment/Foundation
- Hedge Fund
- Insurance Company
- Investment Manager
- Pension Fund
- Private Client Mgmt.
- Other (*specify*) \_\_\_\_\_

## WORK EXPERIENCE

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Starting with your current position, please list the positions you have held during the past 10 years in chronological order. Different positions within the same organization should be listed separately.

ORGANIZATION NAME	TITLE/POSTION	FROM (Mo./Yr.)	TO (Mo./Yr.)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

How many persons regularly work under your supervision? \_\_\_\_\_

In detail, describe your present duties and responsibilities.

Please explain the extent of your involvement in the formulation and implementation of investment policies for your organization.

What are your firm's total assets:

- Less than US\$1 Billion
- Between US\$1 Billion and 10 Billion
- Between US\$10 Billion and 50 Billion
- Between US\$50 Billion and 100 Billion
- Greater than US\$100 Billion

What assets under management are your direct responsibility:

- Less than US\$300 Million
- Between US\$300 Million and 1 Billion
- Between US\$1 Billion and 10 Billion
- Between US\$10 Billion and 50 Billion
- Greater than US\$50 Billion

**EDUCATION**

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COLLEGE/UNIVERSITY

DEGREE

DATE

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Please tell us how you became aware of this workshop:

- |  |   |
|--|---|
| <input type="checkbox"/> CFA Institute Executive Education Website | <input type="checkbox"/> Advertisement in <i>CFA Magazine</i>               |
| <input type="checkbox"/> HBS Executive Education Website           | <input type="checkbox"/> Advertisement in <i>Financial Analysts Journal</i> |
| <input type="checkbox"/> CFA Institute Financial NewsBrief         | <input type="checkbox"/> Facebook, LinkedIn, Twitter                        |
| <input type="checkbox"/> Workshop Brochure                         | <input type="checkbox"/> Referred by _____                                  |
| <input type="checkbox"/> E-mail Advertisement                      | <input type="checkbox"/> Other ( <i>explain</i> ) _____                     |

If you've attended the Investment Management Workshop previously, in what year (s)? \_\_\_\_\_

## APPLICATION AGREEMENT

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I hereby apply for admission to the 2017 Investment Management Workshop. If accepted, I agree to pay the fee in full (US\$8500; CFA Institute Member/Current Candidate Rate: US\$7900) per the date specified in the acceptance letter.

I understand that the following regulations apply:

(1) The workshop fee covers tuition, course materials, on-campus accommodations, and meals.

(2) A recent color headshot photograph (.jpg or tiff format) is required and should be submitted upon acceptance to [univeristy@cfainstitute.org](mailto:univeristy@cfainstitute.org)

(3) All cancellations must be submitted in writing to [university@cfainstitute.org](mailto:university@cfainstitute.org) for consideration. Participant cancellations within 30 days prior to the start of the program will be subject to a one-third cancellation fee; cancellations within seven days of the start of the program will be subject to a two-thirds cancellation fee. Cancellations after the start of the program will be subject to the full payment. Substitution and registration sharing are not permitted. This cancellation policy is in effect for the Investment Management Workshop only and supersedes all other written and/or posted cancellation policies.

(4) If traveling to the US, I agree to be in possession of any necessary travel documents well in advance of the program. Failure to obtain the necessary documents in time for the workshop will not result in a refund of monies paid, if after the cancellation deadline.

Any person attending a CFA Institute conference, seminar, or other program grants permission to CFA Institute to use and publish his or her image or likeness in the form of photograph, video, audio, or any other recorded manner collected in connection with the program for any usual and customary purpose of CFA Institute, including but not limited to advertisements for CFA Institute and its programs and CFA Institute webcasts.

I understand and acknowledge that this Application Agreement requests personal information about me and by submitting this Application Agreement (including my personal information) to CFA Institute and Harvard Business School I hereby provide my voluntary and express consent to the collection, use, disclosure and other processing of my personal information by each of CFA Institute and Harvard Business School in accordance with their respective privacy policies (available at [CFA Privacy Policy](#) and [Harvard Business School Privacy Policy](#)).

For applicants located outside of the United States, you further understand that by submitting this Application Agreement, your personal information will be transferred to both CFA Institute and Harvard Business School, both of which are located in the United States, a country that may not provide equivalent protection to personal information as my home country, and potentially to recipients located in other third countries in accordance with the respective privacy policies of CFA Institute and Harvard Business School as noted above. By submitting this Application Agreement to CFA Institute and Harvard Business School you hereby provide your voluntary and express consent to such transfers.

## SUBMISSION

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Before submitting the form please make sure that all questions have been completed.

Signature

Date

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*By typing your name above, you agree that the typed name is as valid as your signature*